

Ortho Clinical Diagnostics Franchise Companies

Ortho Clinical Diagnostics, Veridex, Therakos



U.S. Charitable Contributions at a Glance Effective January 2009

Contributions Strategy and Areas of Focus:

Our new contributions strategy is aligned with Our Credo by promoting the well-being of our communities, both locally and nationally, with a focus on three key priority areas:

- The communities in which we live and work;
- Our legacy support for laboratory medicine; and
- Support for the early detection and prevention of disease.

Purpose of Policy:

Our new policy provides a framework for decision-making to support non-profit organizations that make life-changing, long-term differences in human health by targeting major health-related issues. In addition, more specific guidelines allow us to respond to requests for charitable contributions in a fair and equitable manner.

Review Process:

All requests, solicited or unsolicited, will be reviewed by the Ortho Clinical Diagnostics Franchise Charitable Contributions Committee (CCC). The committee will evaluate all requests and ensure that funding is granted only in accordance with the policy. The committee will include representation from Ortho Clinical Diagnostics, Veridex, and Therakos, and both the Raritan and Rochester sites.

Eligibility Criteria:

Organizations with programs aligned with the charitable contributions policy need to meet the following eligibility criteria:

- + Organizations **must** be tax-exempt under Section 501(c)(3) of the US Internal Revenue Code.
- + Requests **must** be submitted in the name of the organization itself and **not** be from an individual (e.g. Health Care Professional or Ortho Clinical Diagnostics Franchise Employees or Consultants).
- + Requests **must** be submitted directly by the requesting organization through the **GIFTS Charitable Contributions Application** located on Ortho Clinical Diagnostics Franchise external websites: Ortho Clinical Diagnostics: orthoclinical.com Veridex: veridex.com Therakos: therakos.com.
- + Organizations **must** submit a formal written request and the organization's annual operating budget with their charitable contribution requests.
- + Requests that **do not** meet Ortho Clinical Diagnostics Franchise new Charitable Contributions policy will automatically be rejected and will not advance to the CCC review process.

We Do Not Support Requests:

- + Based on any condition of purchase, use, or recommendation of company products or contingent on the performance of services or the recommendation of company products.
- + In the name of a customer or to an organization of a customer's choice.
- + Covering a substantial portion of the organization's annual operating budget.
- + For capital campaigns (building funds, endowments, multi-year commitments, etc.).
- + For sectarian or religious organizations that do not serve the general public on a non-denominational basis or for political, veterans, fraternal, or athletic organizations or candidates for office.
- + From organizations whose mission/objectives do not meet our contribution policy.
- + Effective January 2009, Ortho Clinical Diagnostics Franchise **will not** support requests involving sponsorship of a golf tournament as a fund raising event.
- + Charitable contributions of cash to organizations that have received funds from another member of the Johnson & Johnson Family of Companies are discouraged.

Process:

Organizations seeking funding are required to complete the following steps:

1. Request **must** be submitted at least 8 weeks prior to when the desired contribution is needed through the **GIFTS Charitable Contributions Application** located on Ortho Clinical Diagnostics Franchise external websites.
2. All requests **must** be accompanied by a formal charitable contribution written request on the organization's letterhead and the organization's annual operating budget for the requested funding. The formal written request should include:
 - + Organization's name and mailing address.
 - + A contact name representing the organization including their title, email address and phone number.
 - + Organization's IRS tax-exempt status and tax ID number.
 - + Organization's mission statement and history.
 - + Geographic area and population served by the organization.
 - + The amount of funding requested and a detailed description of how it will be used.
 - + A detailed explanation of the project or fundraising event, including the title, dates, objectives, expected outcomes, how success will be measured and if it is a new or existing project or event.
 - + A statement of whether the requested funding would represent more than 15 percent (15%) of the organization's annual operating budget.
 - + Other sponsors or contributors to this project or event and amounts committed and/or received to date.
 - + List of board of directors, trustees and key staff.
 - + Indication of how or if the contribution will be acknowledged by the requesting organization.
3. All eligible requests will be submitted to the CCC for review.
4. All organizations will receive decision notification, in writing, within fifteen (15) business days of CCC review.
5. All approved requests will receive a Charitable Contribution Agreement and OBRA Form to sign and return to Ortho Clinical Diagnostics Franchise Companies.
6. All funding for approved requests will be issued within fifteen (15) business days of receiving the signed Charitable Contribution Agreement and OBRA Form.

Contacting Us:

All matters relating to Charitable Contributions must be sent directly to:

Mailing Address:

Health Care Compliance Department
 Ortho Clinical Diagnostics
 1001 US Route 202, Raritan, NJ 08869-0606
 Location: M239 (Charitable Contributions)

Phone: 800-523-6911 ext. 8396

Fax: 908-218-8786

Email: hcccontracts@ocdus.jnj.com

Educational Grants:

Requests for educational programs for Health Care Professionals, Patients and Educational Materials or requests to fund Fellowships should be submitted through our education grants process. The specific company should be contacted to learn more about the educational grants program:

Ortho Clinical Diagnostics

Email: OCDGrants@its.jnj.com

Veridex

Email: VeridexMedicalAffairs@its.jnj.com

Therakos

Email: TherakosGrants@its.jnj.com

Transparency:

Ortho Clinical Diagnostics Franchise will post a list of all charitable contributions and educational grant funding provided to health care-related organizations on the appropriate Company external websites on a quarterly rolling twelve-month basis, or as otherwise directed by then current Company policy or as may be required by law.